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# Quinnipiac G.A.M.E. Forum

## 2013 Keynote Speakers

The Quinnipiac G.A.M.E. III Forum featured the following keynote speakers:



**LARRY V. ADAM, CFA**  
**Managing Director & Chief Investment Strategist**  
**Deutsche Bank Private Wealth Management**

Larry Adam is a managing director and chief investment strategist for Deutsche Bank Private Wealth Management in the U.S. He is a member of the U.S. Investment Committee, the management team responsible for investment strategy and asset allocation for U.S. discretionary client portfolios, and the Global Investment Committee that formalizes and establishes the global house view. Before his current position, Adam headed the Asset Allocation and Quantitative Analysis Group. He has been quoted in numerous publications including The Wall Street Journal, Barron's and the International Herald Tribune. He also has been featured on CNBC and Bloomberg TV. He earned a BBA and an MS in business, both with finance concentrations, from Loyola University. He also has the Chartered Financial Analyst, Certified Investment Management Analyst and Certified Financial Planner designations.



**GUY ADAMI**  
**Managing Director, Drakon Capital**  
**Fast Money Contributor, CNBC**

Guy Adami is the managing director of Drakon Capital, which delivers customized market analysis, portfolio strategy and idea generation for institutional money managers and hedge funds. He also writes a weekly newsletter for retail investors titled "Advantage Point" and contributes daily pre-market analysis and webcasts to optionMONSTER.com. Before launching Drakon Capital, Adami served as executive director at CIBC World Markets in 2003 in its U.S. equities division, where he was the sector head for the industrial/basic material group. He is currently one of the "Fast Money Five" on CNBC's "Fast Money." He previously worked at Goldman Sachs where he was the head gold trader, a proprietary trader within the fixed income currency and commodity division and also headed the firm's industrial/basic material group within the U.S. equities division.



**AL ANGRISANI**  
**President & Chief Executive Officer**  
**Harris Interactive**

Al Angrisani is president and chief executive officer of Harris Interactive, and serves as vice chairman of the board of directors, positions he has held since February 2012. Angrisani previously served as interim CEO of Harris Interactive. Angrisani is one of the top corporate turnaround experts in the U.S. His understanding of and ability to dissect complex economic issues have made him a sought-after guest commentator on national news outlets, including CNBC and Fox Business News. Recently, Angrisani wrote the book, "Win One for the Shareholders," a description of his proven model for corporate change and an exploration of current key economic issues. Prior to his current post, Angrisani served as president and chief executive officer of Greenfield Online, a provider of global consumer attitudes about products and services, from September 2005 until he spearheaded the sale of the company to Microsoft in October 2008. Prior to that position, he was president and chief operating officer of Harris Interactive, from 2001-04. During his tenure he led a turnaround that increased shareholder value by more than \$200 million over a two-year period. Earlier in his career, he was president and CEO of Total Research Corporation and a vice president of Chase Manhattan Bank in New York. Angrisani also was President Ronald Reagan's United States Assistant Secretary of Labor and Chief of Staff. A key accomplishment during his tenure was the creation of the Job Training and Partnership Act, a public and private partnership that saved billions of dollars and produced a more efficient federal training system.



**RICHARD BERNSTEIN**  
**Chief Executive Officer**

**Richard Bernstein Advisors, LLC**

Richard Bernstein is chief executive officer of Richard Bernstein Advisors, LLC, an investment firm specializing in longer-term, client-focused investments. Previously, he was head of the Investment Strategy Group at Merrill Lynch & Co., and also held positions at E.F. Hutton and Chase Econometrics/IDC. Prior to Merrill Lynch, he worked on Wall Street for more than 25 years. He is the author of "Style Investing: Unique Insight into Equity Management," and "Navigate the Noise: Investing in the New Age of Media and Hype." Bernstein was named to Institutional Investor magazine's "All-America Research Team" 18 times, and to the "First Team" 10 times. He was twice named to both Fortune magazine's "All-Star Analysts" and SmartMoney magazine's "Power 30." He earned his BA from Hamilton College and his MBA from New York University.



**ABBY JOSEPH COHEN, CFA**  
**Senior Investment Strategist & President**  
**Global Markets Institute, Goldman Sachs**

Abby Joseph Cohen is president of the Global Markets Institute and senior investment strategist at Goldman Sachs, where she serves on the firm's U.S. retirement investment committee and has served on the partnership committee. She joined Goldman Sachs in 1990 and was named partner in 1998. She previously specialized in quantitative strategy and economics at other major financial firms. Outside of work, Cohen serves on the White House-appointed Innovation Advisory Board for economic competitiveness. She chairs the board of trustees of the Jewish Theological Seminary and serves as a presidential councillor at Cornell University and on the boards of the Weill Cornell Medical College, the Brookings Institution and The Economic Club of New York. She is a former board chair of the Chartered Financial Analyst Institute. Cohen earned degrees in economics from Cornell University and George Washington University.



**DR. ASWATH DAMODARAN**  
**Kerschner Family Chair in Finance**  
**Education & Professor of Finance**  
**New York University Stern School of Business**

Aswath Damodaran holds the Kerschner Family Chair in Finance Education and is professor of finance at New York University Stern School of Business. Before coming to Stern, he lectured in finance at the University of California, Berkeley. In addition to numerous publications in academic journals, Damodaran is the author of several highly regarded and widely used academic textbooks on valuation, corporate finance and investment management. He currently teaches Corporate Finance and Equity Instruments & Markets. Damodaran has been the recipient of Gibling, Glucksman, and Heyman Fellowships; a David Margolis Teaching Excellence Fellowship; and the Richard L. Rosenthal Award for Innovation in Investment Management and Corporate Finance. His skill and enthusiasm in the classroom garnered him the School of Business Excellence in Teaching Award and the Distinguished Teaching Award from NYU. Graduating MBA students voted him "Professor of the Year" five times during his career at NYU. Damodaran received a BA in accounting from Madras University and an MS in management from the Indian Institute of Management. He earned an MBA and a PhD, both in finance, from the University of California, Los Angeles.



**DAVID M. DARST, CFA**  
**Managing Director & Chief Investment Strategist**  
**Morgan Stanley**

David M. Darst is a managing director and chief investment strategist at Morgan Stanley with responsibility for asset allocation and investment strategy. He was founding president of the Morgan Stanley Investment Group. He joined Morgan Stanley in 1996 from Goldman Sachs, where he held senior management posts within the equities division and also served as resident manager of its private bank in Zurich. Darst has written eight books including the bestselling "The Little Book That Saves Your Assets." He appears frequently on CNBC, Bloomberg, FOX and other networks and has contributed numerous articles to Barron's, Euromoney and The Money Manager. Darst earned his MBA from Harvard Business School and has a bachelor's in economics from Yale University. He has lectured extensively at Wharton, Columbia, INSEAD and New York University business schools, and was a visiting faculty member at Yale College, Yale School of Management and Harvard Business School. He is a CFA charterholder and a member of the New York Society of Security Analysts and the CFA Institute.



**BOB DOLL, CFA, CPA**  
**Chief Equity Strategist & Senior Portfolio Manager**  
**Nuveen Asset Management**

Bob Doll serves as a leading member of Nuveen Asset Management's equities investing team. Prior to joining Nuveen Asset Management, Doll served as a senior adviser to BlackRock, where he held the role of chief equity strategist and senior portfolio manager of the U.S. Large Cap Series equity team. His service with BlackRock dates back to 1999, including his years with Merrill Lynch Investment Managers, which merged with BlackRock in 2006. He served as the president and chief investment officer and senior portfolio manager of the Merrill Lynch Large Cap Series Funds. Earlier in his career, Doll also served as chief investment officer of OppenheimerFunds. He earned a BS in accounting and a BA in economics from Lehigh University and an MBA from the Wharton School of the University of Pennsylvania. Doll regularly appears in the national press discussing economic developments and markets.



**DR. BOB FROEHLICH**  
**Independent Director**  
**American Realty Capital Daily Net Asset Value Trust, Inc.**  
**& Davidson Investment Advisors, Inc.**

Bob Froehlich serves on the board of directors as an independent director and a member of the audit committee for American Realty Capital Daily Net Asset Value Trust, Inc. He also serves on the board of directors for American Sports Enterprise, Inc., and is co-owner of the Kane County Cougars, the Class A minor-league baseball affiliate of the Chicago Cubs. Froehlich is an independent director for the privately held company, Davidson Investment Advisors, Inc. Froehlich has more than 35 years of Wall Street and Main Street experience as a head investment strategist with expertise in U.S. and global economics, global currencies and financial markets. He has chaired investment strategy committees for multiple global asset management organizations, including Deutsche Bank, The Hartford and Kemper Funds. He was elected to three All American Institutional Research Teams. Froehlich has provided investment advice and has delivered investment speeches in 107 countries to retail and institutional clients from both the private and public sectors. An outstanding communicator, Froehlich has authored five investment books that have been translated around the globe, and he regularly appears on CNBC, CNN and FOX News. He earned both a BA and MPA from the University of Dayton; an MA in management and an honorary PhD of commercial sciences from Central Michigan University; and a PhD in public policy from California Coast University.



**PHILIP GUZIEC, CFA**  
**Strategist, Alternative Funds Research**  
**Morningstar, Inc.**

Philip Guziec is a strategist on the alternative investing fund research team for Morningstar. In this role, he is responsible for managed futures, option and volatility fund research, as well as research on other topics in alternative investing. Prior to assuming his current role in 2013, Guziec was a derivatives strategist, responsible for developing Morningstar's derivatives research products and using Morningstar's proprietary stock research to identify option investment opportunities and the management of portfolios using these strategies. Guziec has also served Morningstar as an equity analyst and team leader, initially covering the automotive industry and then investment banks and securities exchanges. He has a BA in economics and mechanical engineering and a master's in mechanical engineering from the University of Illinois at Urbana-Champaign and an MBA from the University of Chicago Booth School of Business.



**DR. FRANK M. HATHEWAY**  
**Chief Economist**  
**NASDAQ OMX Group, Inc.**

Frank M. Hatheway is chief economist of the NASDAQ OMX Group, the world's largest exchange company, and is responsible for a variety of projects and initiatives to support the markets and improve market structure. Since joining NASDAQ OMX, he has conducted several studies on the role of stock markets in the economy, developed NASDAQ's opening and closing auctions, and advised on major corporate initiatives. His current projects include evaluating the impacts of regulatory reform proposals on OTC derivatives and of highfrequency trading on equity markets. Prior to joining NASDAQ OMX, Hatheway was a finance professor at Penn State University and a researcher in market microstructure. His academic articles have appeared in the Journal of Finance and Journal of Financial Intermediation, among others. He earned a PhD in economics from Princeton University and has served as an economic fellow and senior research scholar with the U.S. Securities and Exchange Commission.



**DR. ROGER IBBOTSON**  
**Chairman & Chief Investment Officer**  
**Zebra Capital Management**

**Professor of Finance, Yale School of Management**  
Roger Ibbotson is chairman and chief investment officer of Zebra Capital and a member of the firm's portfolio management team. He is also a professor at Yale School of Management. Ibbotson is the founder, adviser and former chairman of Ibbotson Associates, now a Morningstar company with \$60 billion under advisement. He has written numerous books and articles including "Stocks, Bonds, Bills and Inflation," with Rex Sinquefeld (updated annually), which serves as a standard reference for information on capital market returns. He conducts research on a range of financial topics including investment returns, mutual funds, international markets, portfolio management and valuation. He recently published "The Equity Risk Premium" with William Goetzmann and "Lifetime Financial Advice." Ibbotson has also co-authored two books with Gary Brinson, "Global Investing" and "Investment Markets." He earned a BS from Purdue University, an MBA from Indiana University and a PhD from the University of Chicago.



**MICHAEL C. KHOUW**  
**Managing Director & Head of Equity Derivatives**  
**CRT Capital Group**

Michael C. Khouw is managing director and head of equity derivatives at CRT Capital Group. Prior to joining CRT, he was a senior equity derivatives trader at Cantor Fitzgerald and manager of the U.S.-listed equity derivatives desk. Khouw also worked as an analyst/consultant for Ivory Capital. Prior to Ivory, Khouw was a floor-based options trader for Bluefin Energy. The company is a subsidiary of Bluefin Trading, a proprietary trading firm based in White Plains, N.Y., where Khouw co-founded the energy options trading group and was responsible for teaching options trading to the firm's new hires. Earlier in his career, Khouw was an options trader for Gateway Partners, a proprietary trading firm specializing in volatility arbitrage with operations on all U.S. options exchanges. He is a former member of the New York Mercantile Exchange, the American Stock Exchange, and the Philadelphia Stock Exchange. He has a bachelor's degree from Tufts University.



**JEFFREY N. KLEINTOP, CFA**  
**Chief Market Strategist**  
**LPL Financial**

Jeffrey Kleintop is chief market strategist and executive vice president at LPL Financial. He leads the development and articulation of LPL Financial Research's market and investment strategies, leveraging his expertise in the analysis of global financial markets and asset allocation strategy. Cited by The Wall Street Journal as one of "Wall Street's Best and Brightest" and known for his keen market insights, Kleintop is regularly quoted in many national publications, such as The Wall Street Journal, Bloomberg Business Week and The New York Times, and is a frequent guest on national business television and radio, including CNBC, Bloomberg TV, PBS and FOX Business News. He writes a weekly column on TheStreet.com and is a contributor to Forbes. He is the author of the popular investment book, "Market Evolution: How to Profit in Today's Changing Financial Markets." He has a BS from the University of Delaware and an MBA from Pennsylvania State University.



**EDWARD KNIGHT, JD**  
**Executive Vice President, General Counsel & Chief Regulatory Officer**  
**NASDAQ OMX Group, Inc.**

Edward Knight is executive vice president and general counsel of the NASDAQ OMX Group. He served as the chief legal officer of the National Association of Securities Dealers from June 1999 until becoming NASDAQ General Counsel in 2001. In that role, he provides legal counsel to senior management and oversees the quality of legal services across the global organization. He also is responsible for government relations, listing qualifications, market regulation and the office of economic research. In addition, he oversees the Office of Corporate Secretary, which is responsible for all of NASDAQ's corporate governance activities. Knight earned a bachelor's degree in Latin-American studies from the University of Texas at Austin and a JD from the University of Texas School of Law.



**TOBIAS LEVKOVICH**  
**Chief U.S. Equity Strategist**  
**Citi Investment Research**

Tobias M. Levkovich is a managing director and chief U.S. equity strategist for Citi Investment Research and is a member of Citi's Investment Strategy Committee. Since 2001, he has been responsible for assessing the direction of the market, setting the firm's investment sector allocations and compiling its recommended list of specific investments that he expects to appreciate in value. Levkovich is a member of Institutional Investor's 2009 All-America Research Team. SmartMoney magazine has recognized him as one of its "Power 30 Thinkers" (November 2004), its "Best Market Seer" (December 2003) and its "30 Smartest People in Investing" (December 2002). Levkovich began his career as an assistant vice president in the research department of L.F. Rothschild & Co. He holds a bachelor's degree in commerce from Concordia University in Montreal and attended Boston University's Graduate School of Management.



**ROBERT H. McCOOLEY Jr.**  
**Senior Vice President of New Listings & Capital Markets**  
**NASDAQ OMX Group, Inc.**

Robert H. McCooley Jr. is senior vice president of New Listings and the Capital Markets Group. He manages NASDAQ's listings efforts both domestically and in the Americas and Asia Pacific region and also leads the Capital Markets Group, responsible for private equity, investment bank and other Wall Street constituent outreach. Prior to this position, McCooley was chief executive officer of the Griswold Company, an agency he founded in 1988 that served prominent and developing buy-side institutions. He also served on the New York Stock Exchange Board of Executives from 2003-06 and was an exchange floor official for eight terms. McCooley served on NYSE's Group Market Performance Committee and chaired its Technology and Planning Oversight Committee. He has been a regular guest on CNBC. He is a director of Iona Preparatory School, as well as St. Vincent's Hospital in Westchester, N.Y. He attended the College of the Holy Cross.



**RICHARD PEACH**  
**Senior Vice President**  
**Federal Reserve Bank of New York**

Dick Peach is a senior vice president in the Macroeconomic and Monetary Studies Function of the Federal Reserve Bank of New York. He participates in the development of the bank's forecast for the U.S. economy and oversees the bank's projections of federal receipts and outlays. His long-term research interests have been primarily in housing and real estate finance topics. Prior to joining the Federal Reserve Bank of New York in June 1992, he was staff vice president and deputy chief economist of the Mortgage Bankers Association of America. He played a key role in the development of MBA's Weekly Survey of Mortgage Loan Applications, a closely watched indicator of conditions in housing and mortgage markets. Prior to joining the MBA in 1986, he was staff vice president for forecasting and policy analysis at the National Association of REALTORS®.



**JOHN D. ROGERS, CFA**  
**President & Chief Executive Officer**  
**CFA Institute**

John Rogers joined CFA Institute in January 2009 after more than two decades of global experience as an investment practitioner and executive in the Asia-Pacific region and the U.S. Previously, Rogers worked with Citibank and CIGNA in Japan and Australia before joining Invesco. He served as president and chief investment officer of Invesco Asset Management Ltd., Japan; CEO and co-chief investment officer of Invesco Global Asset Management, N.A.; and CEO of Invesco's worldwide institutional division. After leaving Invesco in 2007, he founded Jade River Capital Management. Rogers is a director of numerous boards, endowment and advisory committees in both the not-for-profit and in the corporate sectors. He earned a BA in history from Yale University, an MA in East Asian studies from Stanford University, and his CFA charter in 1992.



**IRWIN SIMON**  
**Founder, President, Chief Executive Officer & Chairman**  
**The Hain Celestial Group, Inc.**

Irwin D. Simon is the founder, president, chief executive officer and chairman of The Hain Celestial Group, Inc. Headquartered in Long Island, N.Y., Hain Celestial is a leading natural and organic products company in North America and Europe in many natural categories with well-known brands that include Celestial Seasonings®, Earth's Best®, Terra®, Garden of Eatin'®, Sensible Portions®, Health Valley®, Arrowhead Mills®, MaraNatha®, Spectrum®, Imagine®, Dream™, The Greek Gods®, BluePrint®, Yves Veggie Cuisine®, Europe's Best®, Cully & Sully®, New Covent Garden Soup Co.®, Johnson's Juice Co.®, Farmhouse Fare®, Hartley's®, Sun-Pat®, Gale's®, Robertson's®, Frank Cooper's®, Linda McCartney®, Lima®, Danival®, GG UniqueFiber®, Natumi®, JASON®, Avalon Organics® and Alba Botanica®. Hain Celestial has been providing "A Healthier Way of Life™" since 1993. In its last fiscal year, Hain Celestial generated \$1.4 billion in sales and today has more than 5,000 employees worldwide with 24 manufacturing facilities. Simon honed his management skills at prominent food companies including The Häagen-Dazs Company, a division of Grand Metropolitan, PLC, and Slim-Fast Foods Company. His entrepreneurial instincts identified natural foods as a major upcoming trend in the consumer packaged goods industry, and he embarked on a plan to build a dynamic company capable of seizing this market opportunity.



**DR. JOHN E. SILVIA**  
**Managing Director & Chief Economist**  
**Wells Fargo Securities, LLC**

John Silvia is a managing director and the chief economist for Wells Fargo. Based in Charlotte, N.C., he has held his position since he joined Wachovia, a Wells Fargo predecessor, in 2002 as the company's chief economist. Prior to his current position, he worked on Capitol Hill as senior economist for the U.S. Senate Joint Economic Committee and chief economist for the U.S. Senate Banking, Housing and Urban Affairs Committee. Before that, Silvia was chief economist of Kemper Funds and managing director of Scudder Kemper Investments. He was awarded a NABE Fellow Certificate of Recognition in 2011 for outstanding contributions to the Business Economics Profession and Leadership Among Business Economists to the Nation. Silvia is on the Bloomberg Best Forecast list, serves as a member of the Blue Chip Panel of Economic Forecasters and also serves on an informal advisory group for the Federal Reserve Bank of Philadelphia. He holds BA and PhD degrees in economics from Northeastern University and has a master's in economics from Brown University. His book, "Dynamic Economic Decision Making," was published in August 2011.



**SAM STOVALL**  
**Chief Equity Strategist**  
**S&P Capital IQ**

As chief equity strategist of S&P Capital IQ, Sam Stovall serves as analyst, publisher and communicator of S&P's outlooks for the economy, market, sectors and stocks. He is the chairman of the S&P Investment Policy Committee, where he focuses on market history and valuations, as well as industry momentum strategies. He is the author of "The Seven Rules of Wall Street," as well as "The Standard & Poor's Guide to Sector Investing." He writes a weekly investment piece on S&P Capital IQ's MarketScope Advisor platform ([www.getmarketscope.com](http://www.getmarketscope.com)). His work frequently appears in S&P Capital IQ's flagship weekly newsletter, "The Outlook." Stovall joined S&P Capital IQ in April 1989. Prior to S&P, he served as editor-in-chief at Argus Research in New York City. He earned an MBA in finance from New York University and a BA in history/education from Muhlenberg College. He is also a certified financial planner.



**JOSEPH M. TERRANOVA**  
**Chief Market Strategist, Virtus Investment Partners**  
**Fast Money Contributor, CNBC**

Joe Terranova is chief market strategist for Virtus Investment Partners. He works with the regional sales teams and financial advisers who sell the company's investment products, providing insight into the domestic and global investing landscape. Terranova has represented Virtus as a keynote speaker and is a member of the firm's Investment Oversight Committee. Before joining Virtus in 2008, he spent 18 years at MBF Clearing Corp., rising to the position of director of trading for the company and its subsidiaries. He is known for his risk-management skills, honed while overseeing MBF's proprietary trading operations during some of the most calamitous times for the U.S. markets, including the first Gulf War, the 1998 Asian Crisis and 9/11. He is a panelist on CNBC's "Fast Money" and a frequent panelist on "Fast Money Halftime Report." He has a bachelor's degree in finance from the Peter J. Tobin College of Business at St. John's University.